



Investment Compliance

Capital Changes Issuer Statement Reporting Service

Form 8937 Reports

Capital Changes Form 8937 Issuer Statement Reporting Service from Wolters Kluwer provides the essential issuer reporting details brokers need for cost basis compliance.

All IRS Form 8937 issuer statements in one place

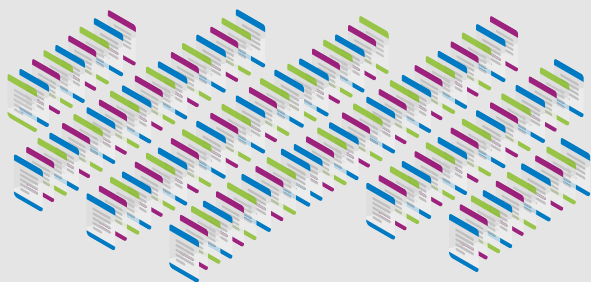
Under the cost basis reporting law, issuers of specified securities are required to report the quantitative effect of corporate actions on cost basis on an IRS Form 8937. Similarly, brokers must consider all information furnished on IRS Form 8937 when reporting the sale or transfer of a security to comply with this law. Issuers file thousands of Form 8937 every year. Yet finding and analyzing all relevant issuer statements can be time-consuming, tedious, and error prone.

Brokers are required to take into account the information provided in such reports. Reliance on the issuer details can help insulate against errors in cost basis reporting on shareholder and transfer statements. Failure to account for issuer information can result in significant penalties.

Capital Changes Form 8937 Reports save time, reduce broker resource burdens by centralizing coverage of issuer statements, provide fielded corporate action, security, and taxability details, and link to the original Form 8937. Leveraging our Capital Changes teams' corporate actions tax expertise and our proven model of applying a standardized approach to otherwise disparate event information, Form 8937 Reports deliver critical issuer information in one easy-to-navigate, centralized location. Each report is prepared by an expert corporate actions analyst, who reviews and interprets the tax information and converts it into actionable data. Key tax information is fielded by our tax experts, who also provide comments and cautions, where necessary, to help you understand the data.

Understand the scope of issuer statements

Thousands of corporate actions annually



Resulting in more than 1,000 issuer statements per month



By the middle of 2021, close to 190,000 statements have been posted



Form 8937 Reports provide:

- One source for all publicly available issuer statements
- Complete source for Return of Capital tax reporting details
- Key tax and operational data fielded and exportable
- A copy of the original issuer statement
- A link to the Capital Changes analysis

To find out more about our Capital Changes Form 8937 Issuer Statement Reporting Service, or any of our other corporate action solutions, please visit [WoltersKluwer.com](https://www.wolterskluwer.com) or contact us at 800.261.3111.

About Wolters Kluwer Governance, Risk & Compliance

Governance, Risk & Compliance (GRC) is a division of Wolters Kluwer, which provides legal and banking professionals with solutions to ensure compliance with ever-changing regulatory and legal obligations, manage risk, increase efficiency, and produce better business outcomes. GRC offers a portfolio of technology-enabled expert services and solutions focused on legal entity compliance, legal operations management, banking product compliance, and banking regulatory compliance.

Wolters Kluwer (AEX: WKL) is a global leader in information services and solutions for professionals in the health, tax and accounting, risk and compliance, finance and legal sectors. Wolters Kluwer reported 2020 annual revenues of €4.6 billion. The company, headquartered in Alphen aan den Rijn, the Netherlands, serves customers in over 180 countries, maintains operations in over 40 countries and employs 19,000 people worldwide.

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CISR Form 8937 Product Sheet



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When you have to be right