# Cheetah™ - Searching

## Quick Start Card

## Practice Area Dashboard
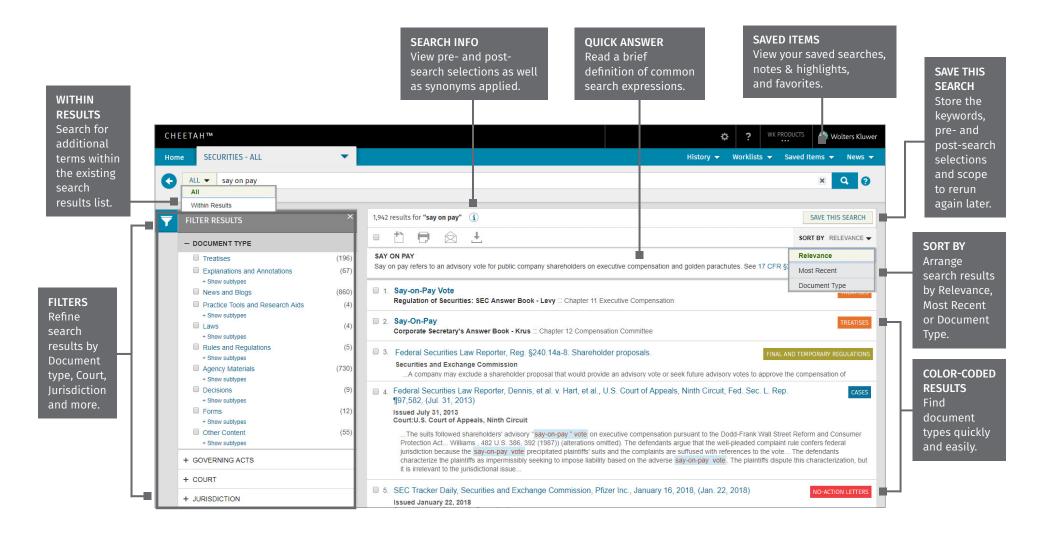
**CHEETAH™**

Home | SECURITIES - ALL ▼ | History ▼ | Worklists ▼ | Saved Items ▼ | News ▼

Search Within Securities - All

Refine Search Scope

🔍 | ❓ | CITATION SEARCH

**REFINE SEARCH SCOPE**
Limit your results using pre-search filters and/or selected content.

**FEDERAL**

📄 **TREATISES & EXPLANATIONS**

Federal Securities Law Reporter 🔍

...ies Regulation - Loss, Seligman and Paredes

...s, Acquisitions, and Buyouts - Ginsburg, Levin,

...egulation of the International Securities and ...ives Markets

SEE ALL

See

**CORPORATION LA**

**GLOBAL SEARCH**
Search the entire practice area including explanations, laws, tools, etc. for keywords or citations.

**SEARCH SHORTCUT**
Hover your cursor over an item and click the magnifier to focus your search on that publication.

**SEARCH**

SECURITIES - ALL

Search Within ... ❓

☑ Apply Thesaurus

Corporate Acquisitions and Mergers - Beg... ⊗ | Corporate Finance and the Securities Law... ⊗

▼ **SELECT FILTERS**
Document Type ▶
Governing Acts ▶
Court ▶
Jurisdiction ▶
Issuing Body ▶
Entity & Role ▶
Date ▶

▼ **SELECT FEDERAL CONTENT (2)**
  ▼ Treatises & Explanations (2) ▼
  ☐ Broker-Dealer Law and Regulation - Poser, Fanto, and Gross ▶
  ☐ Capital Markets Handbook - Burch and Foerster ▶
  ☐ Commodity Futures Law Reporter ▶
  ☐ Commodity Futures Modernization Act of 2000: Law and Explanation (February 2001) ▶
  ☑ Corporate Acquisitions and Mergers - Begg ▶
  ☑ Corporate Finance and the Securities Laws - Johnson, McLaughlin and Haueter ▶
  ☐ Corporate Governance: Proxy Developments for 1980 (January 1980) ▶

CANCEL | CLEAR ALL

**APPLY THESAURUS**
Look for terms similar to your search expression. Uncheck the box to turn off this feature.

**CITATION SEARCH**
Use fill-in-the-blank fields customized to match the practice area.

**SEARCH TIPS**
Learn about using connectors and operators in your search expressions.

...LINGS

...r CIK

GO

**CITATION LOOKUP** ❓

Search Citation | GO

**CITATION LOOKUP**
(Tax only) Enter citations directly. Click the question mark icon for a list of citation formats.

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# Cheetah™ - Searching

# Quick Start Card

## Search Results

**SEARCH INFO**
View pre- and post-search selections as well as synonyms applied.

**QUICK ANSWER**
Read a brief definition of common search expressions.

**SAVED ITEMS**
View your saved searches, notes & highlights, and favorites.

**SAVE THIS SEARCH**
Store the keywords, pre- and post-search selections and scope to rerun again later.

**WITHIN RESULTS**
Search for additional terms within the existing search results list.

**FILTERS**
Refine search results by Document type, Court, Jurisdiction and more.

**SORT BY**
Arrange search results by Relevance, Most Recent or Document Type.

**COLOR-CODED RESULTS**
Find document types quickly and easily.

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CHEETAH™

Home | SECURITIES - ALL

History | Worklists | Saved Items | News

ALL | say on pay
All
Within Results

1,942 results for "say on pay"

SAVE THIS SEARCH

SORT BY  RELEVANCE
Relevance
Most Recent
Document Type

**FILTER RESULTS**

— DOCUMENT TYPE

| | |
|---|---|
| Treatises | (196) |
| Explanations and Annotations | (67) |
| + Show subtypes | |
| News and Blogs | (860) |
| Practice Tools and Research Aids | (4) |
| + Show subtypes | |
| Laws | (4) |
| + Show subtypes | |
| Rules and Regulations | (5) |
| + Show subtypes | |
| Agency Materials | (730) |
| + Show subtypes | |
| Decisions | (9) |
| + Show subtypes | |
| Forms | (12) |
| + Show subtypes | |
| Other Content | (55) |
| + Show subtypes | |

+ GOVERNING ACTS

+ COURT

+ JURISDICTION

**SAY ON PAY**
Say on pay refers to an advisory vote for public company shareholders on executive compensation and golden parachutes. See 17 CFR §

1. **Say-on-Pay Vote**
Regulation of Securities: SEC Answer Book - Levy :: Chapter 11 Executive Compensation
TREATISES

2. **Say-On-Pay**
Corporate Secretary's Answer Book - Krus :: Chapter 12 Compensation Committee
TREATISES

3. **Federal Securities Law Reporter, Reg. §240.14a-8. Shareholder proposals.**
Securities and Exchange Commission
...A company may exclude a shareholder proposal that would provide an advisory vote or seek future advisory votes to approve the compensation of
FINAL AND TEMPORARY REGULATIONS

4. **Federal Securities Law Reporter, Dennis, et al. v. Hart, et al., U.S. Court of Appeals, Ninth Circuit, Fed. Sec. L. Rep. ¶97,582, (Jul. 31, 2013)**
Issued July 31, 2013
Court:U.S. Court of Appeals, Ninth Circuit
...The suits followed shareholders' advisory "say-on-pay " vote on executive compensation pursuant to the Dodd-Frank Wall Street Reform and Consumer Protection Act... Williams , 482 U.S. 386, 392 (1987)) (alterations omitted). The defendants argue that the well-pleaded complaint rule confers federal jurisdiction because the say-on-pay vote precipitated plaintiffs' suits and the complaints are suffused with references to the vote... The defendants characterize the plaintiffs as impermissibly seeking to impose liability based on the adverse say-on-pay vote. The plaintiffs dispute this characterization, but it is irrelevant to the jurisdictional issue...
CASES

5. **SEC Tracker Daily, Securities and Exchange Commission, Pfizer Inc., January 16, 2018, (Jan. 22, 2018)**
Issued January 22, 2018
NO-ACTION LETTERS

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## Refining the Search

**SEARCH WITHIN**
When viewing a document, *Search Within* limits the scope to the current database. Click 'Refine Search Scope' to focus the search on selected sections.

**SEARCH SHORTCUT**
Hover over a Contents item and click the search magnifier to focus a search on a single document, a subtitle, subsection, or sections.

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## Connectors and Operators

*Singular and plural word forms, as well as synonyms, are automatically included in a search. Cheetah recognizes most typos and searches for the correct word.*

| | Connectors |
|---|---|
| **Space** | A space between terms is automatically interpreted as 'and' connector. |
| **And &** | Retrieves both search terms.  *Example:  whistleblower and anti-retaliation* |
| **Or \|** | Retrieves either or both search terms.  **Example:** *child or dependent* finds documents containing '*child*' or '*dependent*' or both. *Example: false \| misleading* |
| **Not -** | Used to retrieve documents that contain the first term only if the second term does not appear. **Example:** *RICO not Puerto* – finds RICO documents that do not include 'Puerto Rico.'  **Example:** *deed not revocation*     **Example:** *Title: (loss seligman - fundamentals)* finds the *Securities Regulation* treatise but not the *Fundamentals of Securities Regulation* treatise, both by Loss, Seligman and Paredes. |
| **w/#** | Specifies the proximity of search terms.  **Example:**  *spoofing w/5 futures* – finds 'spoofing' within 5 words of 'futures' regardless of which word occurs first. |
| **p/#** | Specifies the order of search terms.  **Example:**  *spoofing p/5 futures* – finds 'spoofing' where it precedes 'futures' by up to 5 words. |
| | **Universal Characters** |
| **"…"** | Finds the exact phrase. **Example:** *"intervening rights"* **Example:**  *"search and seizure"* finds documents including the phrase but does not use 'and' as a connector. |
| **\*** | Use * to take the place of multiple characters. **Example:** *a\*ment* finds amendment, agreement, assignment, argument, etc. |
| **?** | Substitute **?** for a single character.  Note: Using ? prevents synonyms from being included in your search. **Example:**  *advis?r* finds either advisor or adviser. |
| | **Search Operators** |
| **Author:** | Finds documents authored by specific person(s)**.** **Example:** *Author: (Hamilton or Romanek) and say on pay* |
| **Doctype:** | Finds cases, regulations, and other document types by source. **Example:** *Doctype: Cases and Title: Young Reves* |
| **State:** | Identifies documents from a specific state.  *Note: Use full state name, not abbreviations*. **Example:** *State: California & partnership*. |
| **Title:** | Restricts search terms to the title of a document only. **Example:**  *Title: Halliburton* **Example:** *Title: Federal Securities Law Reporter and crowdfunding* |
| | **Date Restrictions** |
| **On** | **Example:**  *proxy on June 2010* finds documents with date in month of June 2010 |
| **Before** | **Example:**  *proxy before March 31, 2015* finds documents with date up to March 30, 2015 |
| **After** | **Example:**  *proxy after 12/31/2013* finds documents with date from 1/1/2014 on. |
| **From …To** | **Example:**  *proxy from July 1, 2010 to October 31, 2013* finds documents within a specific date range. |

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