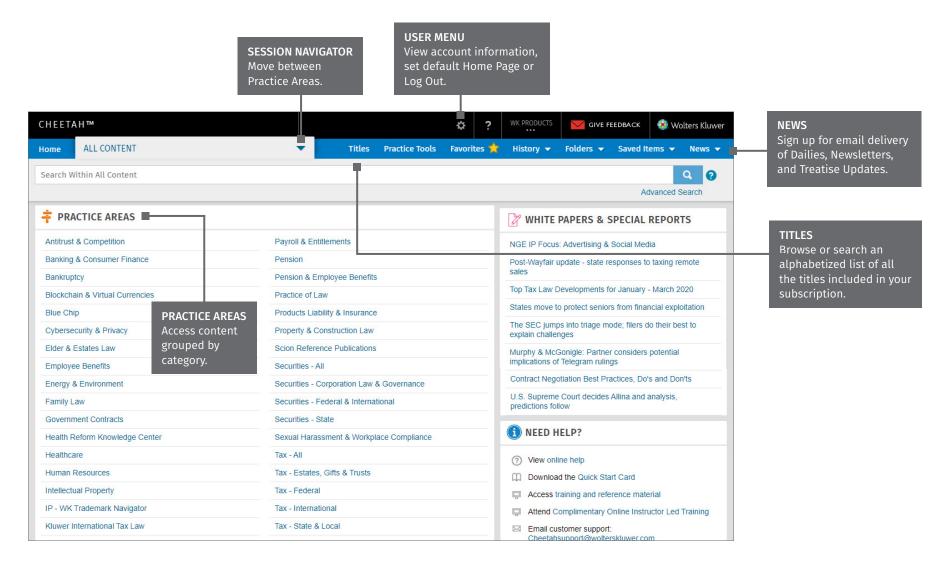
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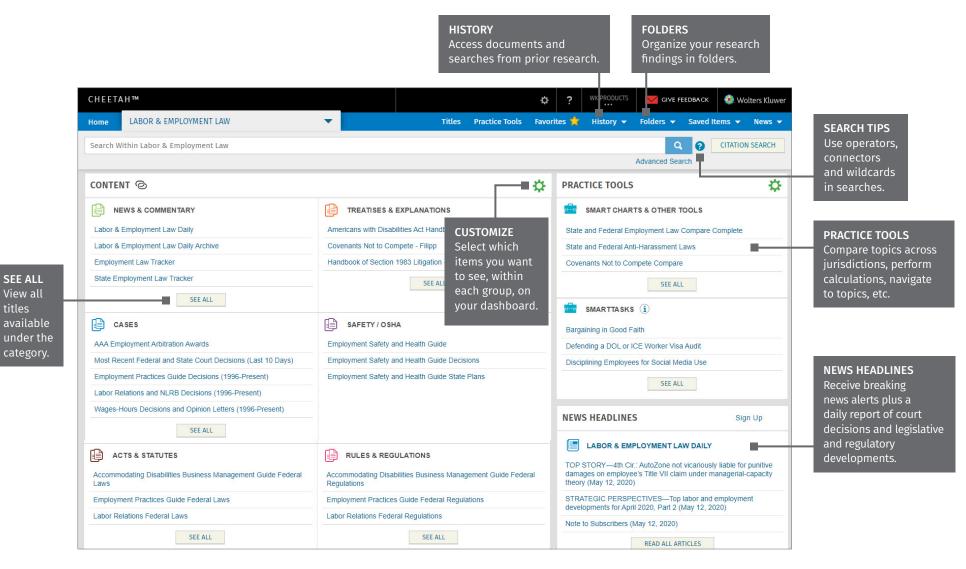




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+ Litigation & Enforcement Issues				
+ Transactions & Activities		 2. Federal Securities Law Reporter, Securities and Exchange Commission, Further Defi 	COLOR-CODED	
- DOCUMENT TYPE		"Security-Based Swap," and "Security-Based Swap Agreement"; Mixed Swaps; Security-Based Swap RESULTS		
Treatises	(42)	Agreement Recordkeeping. Securities Act Release No. 9338. Exchange Act Release No. 67453. July 18, 2012. (Jul. 18, 2012) Issued July 18, 2012 Securities and Exchange Commission Further Definition of "Swap," "Security-Based Swap," and "Security-Based Swap Agreement"; Mixed Swaps; Security-Based Swap, and Security-Based Swap Agreement; Mixed Swaps; Security-Based Swap Agreement, Mixed Swaps; Security-Based Swap Agreement, Mixed Swaps; Security-Based Swap Agreement, "Mixed Swaps, Security-Based Swap,		
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- GOVERNING ACTS		Swaps, Security-Based Swap Agreement, Record Reping, 76 (R 25616 (May 25, 2011) (Pro		
Securities Act of 1933 Securities Exchange Act of 1934 Trust Indenture Act of 1939	(83) (369) (11) Show All	 3. Federal Securities Law Reporter, 2010 Dodd-Frank Act Sec. 762, REPEAL OF PROHIBITION ON REGULATION OF SECURITY-BASED SWAP AGREEMENTS. [15 USC 78c] REPEAL OF PROHIBITION ON REGULATION OF SECURITY-BASED SWAP AGREEMENTS. [15 USC 78c] Repeal Sections 206B and 206C of the Gramm-Leach-Billey Act (Public Law 106-102; 15 U.S.C. 78c note) are repealedof transactions in any security registered on 		
- COURT		a national securities exchange, any security not so registered, or in connection with any security agreement with respect to such security creating actual or apparent active trading in such secu	irity, or raising or depressing the price of such	
Federal + Show subtypes	(300)	security, for the purpose in subsection (a)(3)(B), by inserting or security-based swaps after security-based swap agreement; in the first sentence of subsection (b), by striking (as defined in section 206B of the Gramm-Leach-Bliley Act);		
- JURISDICTION		 4. Derivatives Regulation - Johnson, Hazen, Ervin, Mills, and Trkla, [CCH ¶ 1011E], [7 U (1)(G)] [SEC Jurisdiction Over Security-Based Swap Agreements] 	JSC § 2(a) PRIMARY SOURCE IN TREATISE	

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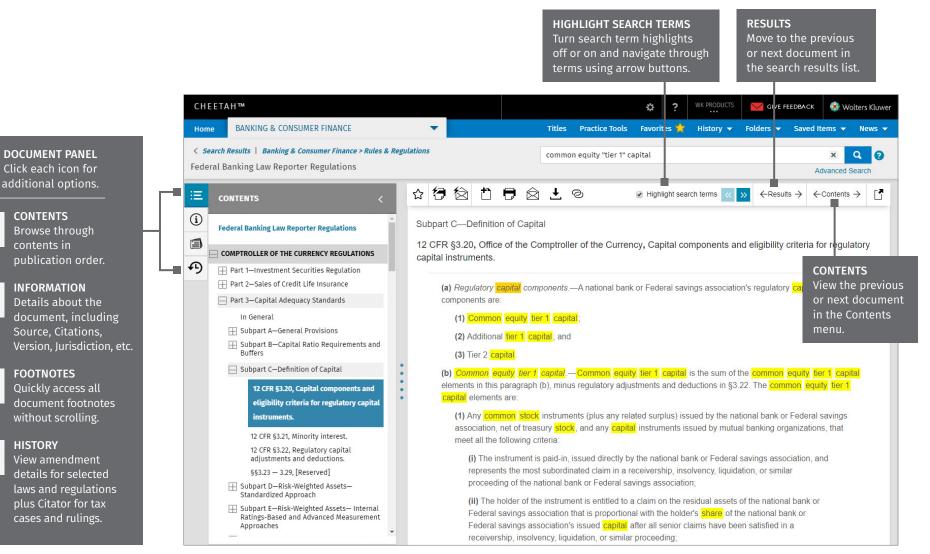
FOOTNOTES

HISTORY

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