

Quick Start Card

All Content Dashboard

PRIMARY SOURCE
Full US Code, CFR, and 50 State Laws & Regs, plus Legislation and Rules

PRACTICAL CONTENT
Tools, Analysis, Smart Charts, Smart Tasks, Answer Books, Calculators, and Forms

USER MENU
View account information, set default Home Page or Log Out.

SESSION NAVIGATOR
Move between Practice Areas.

NEWS SIGN-UP
Sign up for email delivery of Dailies, Newsletters, and Treatise Updates.

TITLES
Browse or search an alphabetized list of all the titles included in your subscription.

PRACTICE AREAS
Access content grouped by category.

MY HISTORY
Your recently viewed documents.

The dashboard interface includes a top navigation bar with 'Home', 'ALL CONTENT', and tabs for 'Primary Source', 'Practical Content', 'Titles', 'Favorites', 'History', 'Folders', 'Saved Items', and 'News Sign-up'. A search bar is located below the navigation. The main content area is divided into a 'PRACTICE AREAS' sidebar, a central 'TITLES' list, and a right-hand column with 'NEWS HEADLINES', 'FAVORITES', and 'MY HISTORY' sections.

Quick Start Card

Practice Area Dashboard

HISTORY
Access documents and searches from prior research.

FOLDERS
Organize your research findings in folders.

SEARCH TIPS
Use operators, connectors and wildcards in searches.

SEE ALL
View all titles available under the category.

CUSTOMIZE
Select which items you want to see, within each group, on your dashboard.

PRACTICE TOOLS
Compare topics across jurisdictions, perform calculations, navigate to topics, etc.

The screenshot shows the VitalLaw interface for the Labor & Employment Law practice area. The top navigation bar includes 'Home', 'LABOR & EMPLOYMENT LAW', and various filters like 'Primary Source', 'Practical Content', 'Titles', 'Favorites', 'History', 'Folders', 'Saved Items', and 'News Sign-up'. A search bar is located below the navigation. The main content area is divided into several sections: 'CONTENT' (with sub-sections for News & Commentary, Treatises & Explanations, and State Laws, Regulations & Summaries), 'ACTS & STATUTES', 'RULES & REGULATIONS', and 'CASES'. A 'PRACTICE TOOLS' section on the right offers 'SMART CHARTS & OTHER TOOLS' and 'SMARTTASKS'. A 'VITALLAW® AGREEMENT & CLAUSE BUILDER' is also visible at the bottom right. Callout boxes provide detailed instructions for navigating these features.

Quick Start Card

Search Results

RECORD KEEPING OPTIONS
Select documents to Add (Folders or Favorites), Print, Email, Download.

OPEN IN NEW TAB
Check the box to view a document in a new browser tab.

SAVED ITEMS
View your saved searches, notes & highlights, and favorites.

Filters
Refine search results by Document type, Court, Jurisdiction and more.

Sort By
Arrange search results by Relevance, Date, or Document type.

Color-Coded Results
Find document types quickly and easily.

Search Results: 1,436 results for "securities based swap agreement".

Filter Results:

- TOPICS
 - Governance & Compliance
 - Litigation & Enforcement Issues
 - Transactions & Activities
- DOCUMENT TYPE
 - Treatises (45)
 - Explanations and Annotations (46)
 - News and Blogs (153)
- TREATISE TITLE
 - Broker-Dealer Law and Regulation - Fanto, Gross, and Poser (2)
 - Capital Markets Handbook - Burch and Foerster (1)
 - Corporate Finance and the Securities Laws - Johnson, McLaughlin and Haueter (1)
- GOVERNING ACTS
 - Securities Act of 1933 (87)
 - Securities Exchange Act of 1934 (415)
 - Trust Indenture Act of 1939 (11)

Search Results List:

- 240.3a-68-1 et seq. – Further Definition of Swap, Security-Based Swap, and Security-Based Swap Agreement; Mixed Swaps; Security-Based Swap Agreement Recordkeeping Securities Exchange Act of 1934 Rules **FINAL AND TEMPORARY REGULATIONS**
- Federal Securities Law Reporter, 1934 Securities Exchange Act Sec. 3(a)(78), [15 USC 78c(a)(78)] Security-based swap agreement **ACTS AND STATUTES**
 ...[15 USC 78c(a)(78)] Security-based swap agreement...The term security-based swap agreement does not include any security-based swap... SECURITY-BASED SWAP AGREEMENT, — ...
 ...Further Definition of "Swap," "Security-Based Swap," and "Security-Based Swap Agreement"; Mixed Swaps; Security-Based Swap Agreement Recordkeeping...See Further Definition of Swap, Security-Based Swap, and Security-Based Swap Agreement Recordkeeping, 76 FR 29818 (May 23, 2011) (Proposing Release)...See Further Definition of Swap, Security-Based Swap, and Security-Based Swap Agreement Recordkeeping, 76 FR 29818, 29829, May 23, 2011....
- Federal Securities Law Reporter, Securities and Exchange Commission, Further Definition of "Swap," "Security-Based Swap Agreement"; Mixed Swaps; Security-Based Swap Agreement Recordkeeping...Further Definition of Swap, Security-Based Swap Agreement Recordkeeping...This rule, coupled with guidance regarding security-based swap agreements provided by the Commissions, further clarifies whether a swap is a security-based swap agreement and could eliminate the need to obtain an opinion of counsel regarding a particular security-based swap agreement... **OTHER RELEASES**
 Issued April 29, 2011
 Securities and Exchange Commission
- Federal Securities Law Reporter, Security-Based Swap Agreements **EXPLANATIONS**
 ...Security-Based Swap Agreements...Added in 2000, Section 9(i) provides that authority of the Commission under this section with respect to security-based swap agreements shall be subject to the restrictions and limitations of section Exchange Act Section 3A(b) of this title...As defined in the Gramm-Leach-Bliley Act, the term "security-based swap agreement" means a swap agreement (as defined in section 206A) of which a material term is based on the price, yield, value, or volatility of any security or any group or index of securities, or any interest therein...

Quick Start Card

Document View

DOCUMENT PANEL
Click each icon for additional options.

- CONTENTS**
Browse through contents in publication order.
- INFORMATION**
Details about the document, including Source, Citations, Version, Jurisdiction, etc.
- FOOTNOTES**
Quickly access all document footnotes without scrolling.
- HISTORY**
View amendment details for selected laws and regulations plus Citator for tax cases and rulings.

HIGHLIGHT SEARCH TERMS
Turn search term highlights off or on and navigate through terms using arrow buttons.

RESULTS
Move to the previous or next document in the search results list.

CONTENTS
View the previous or next document in the Contents menu.