

**PREAWARD SURVEY OF PROSPECTIVE
CONTRACTOR
FINANCIAL CAPABILITY**

SERIAL NUMBER *(For surveying activity use)*

OMB Control Number: 9000-0011
Expiration Date: 6/30/2020

PROSPECTIVE CONTRACTOR

Paperwork Reduction Act Statement - This information collection meets the requirements of 44 U.S.C. § 3507, as amended by section 2 of the Paperwork Reduction Act of 1995. You do not need to answer these questions unless we display a valid Office of Management and Budget (OMB) control number. The OMB control number for this collection is 9000-0011. We estimate that it will take 24 hours to read the instructions, gather the facts, and answer the questions. Send only comments relating to our time estimate, including suggestions for reducing this burden, or any other aspects of this collection of information to: U.S. General Services Administration, Regulatory Secretariat Division (M1V1CB), 1800 F Street, NW, Washington, DC 20405.

SECTION I - RECOMMENDATION

1. RECOMMENDED

- a. COMPLETE AWARD b. PARTIAL AWARD (Quantity: _____) c. NO AWARD

2. TOTAL OFFERED PRICE

3. NARRATIVE *(Cite those sections of the report which substantiate the recommendation. Give any other backup information in this space or on an additional sheet, if necessary.)*

IF CONTINUATION SHEETS
ATTACHED - MARK HERE

4. SURVEY MADE BY	a. SIGNATURE	b. TELEPHONE NUMBER <i>(Include area code)</i>	c. DATE SIGNED
	a. SIGNATURE	b. TELEPHONE NUMBER <i>(Include area code)</i>	c. DATE REVIEWED
5. SURVEY REVIEWING OFFICIAL	a. SIGNATURE	b. TELEPHONE NUMBER <i>(Include area code)</i>	c. DATE REVIEWED

SECTION II - GENERAL

1. TYPE OF COMPANY <input type="checkbox"/> CORPORATION <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> SUBSIDIARY <input type="checkbox"/> DIVISION <input type="checkbox"/> PROPRIETORSHIP <input type="checkbox"/> OTHER (<i>Specify</i>)	3. NAME AND ADDRESS OF: a. PARENT COMPANY b. SUBSIDIARIES
2. YEAR ESTABLISHED:	

SECTION III - BALANCE SHEET/PROFIT AND LOSS STATEMENT

PART A - LATEST BALANCE SHEET			PART B - LATEST PROFIT AND LOSS STATEMENT					
1. DATE	2. FILED WITH		1. CURRENT PERIOD		2. FILED WITH			
3. FINANCIAL POSITION			a. FROM	b. TO				
			3. NET SALES			a. CURRENT PERIOD		\$
a. Cash						b. First prior fiscal year		
			b. Accounts Receivable			c. Second prior fiscal year		
c. Inventory						4. NET PROFITS BEFORE TAXES		
			d. Other Current Assets					
e. Total Current Assets						c. Second prior fiscal year		
			f. Fixed Assets			PART C - OTHER		
g. Current Liabilities								
			h. Long Term Liabilities			2. BALANCE SHEETS AND PROFIT AND LOSS STATEMENTS HAVE BEEN CERTIFIED		
i. Total Liabilities								
			j. Net Worth			5. RATIOS		
5. RATIOS								

SECTION IV - PROSPECTIVE CONTRACTOR'S FINANCIAL ARRANGEMENTS

Mark "X" in appropriate column.	YES	NO	4. INDEPENDENT ANALYSIS OF FINANCIAL POSITION SUPPORTS THE STATEMENTS SHOWN IN ITEMS 1, 2, AND 3	
1. USE OF OWN RESOURCES			<input type="checkbox"/> YES	<input type="checkbox"/> NO (<i>If "NO", explain</i>)
2. USE OF BANK CREDITS				
3. OTHER (<i>Specify</i>)				

SECTION V - GOVERNMENT FINANCIAL AID


1. TO BE REQUESTED IN CONNECTION WITH PERFORMANCE OF PROPOSED CONTRACT		2. EXPLAIN ANY "YES" ANSWERS TO ITEMS 1a, b, AND c.				
Mark "X" in appropriate column.	YES	NO				
a. PROGRESS PAYMENT(S)						
b. GUARANTEED LOAN						
c. ADVANCE PAYMENTS						
3. FINANCIAL AID CURRENTLY OBTAINED FROM THE GOVERNMENT						
a. PROSPECTIVE CONTRACTOR RECEIVES GOVERNMENT FINANCING AT PRESENT <input type="checkbox"/> YES <input type="checkbox"/> NO	Complete items below only if item a., is marked "YES."					
	b. IS LIQUIDATION CURRENT?	c. AMOUNT OF UNLIQUIDATED PROGRESS PAYMENTS OUTSTANDING	DOLLAR AMOUNTS	(a) AUTHORIZED	(b) IN USE	
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		a. Guaranteed loans	\$	\$
			\$	b. Advance payments	\$	\$
4. LIST THE GOVERNMENT AGENCIES INVOLVED			5. SHOW THE APPLICABLE CONTRACT NUMBERS			

SECTION VI - BUSINESS AND FINANCIAL REPUTATION

1. COMMENTS OF PROSPECTIVE CONTRACTOR'S BANK

2. COMMENTS OF TRADE CREDITORS

3. COMMENTS AND REPORTS OF COMMERCIAL FINANCIAL SERVICES AND CREDIT ORGANIZATIONS *(Such as, Dun & Bradstreet, Standard and Poor, etc.)*

4. MOST RECENT CREDIT RATING  a. DATE b. BY

5. DOES PRICE APPEAR UNREALISTICALLY LOW? YES NO *(If Yes, explain in Section I NARRATIVE)*

6. DESCRIBE ANY OUTSTANDING LIENS OR JUDGEMENTS

SECTION VII - SALES (000'S) FOR NEXT SIX QUARTERS

CATEGORY	1	2	3	4	5	6	TOTAL
1. CURRENT CONTRACT SALES (Backlog)	\$	\$	\$	\$	\$	\$	\$
A. GOVERNMENT (Prime & Subcontractor)							
B. COMMERCIAL							
2. ANTICIPATED ADDITIONAL SALES							
A. GOVERNMENT (Prime & Subcontractor)							
B. COMMERCIAL							
3. TOTALS							