

CT Corporation

Expect more from your SEC compliance



Comply with SEC Exchange Act requirements accurately and on time. hCue's SEC Module streamlines form preparation, e-filing, and status tracking—all within hCue — while keeping pace with evolving SEC rules such as EDGAR Next.

End-to-end efficiency for insider reporting

Manage insider activity with ease

Track insiders' ownership positions and activities with the SEC Module. Prepare forms, test files, and submit with a single click. Automated workflows calculate current balances from reported transactions and holding balances, making it easy to grant awards, process stock purchases and sales, and generate activity reports.

Prepare forms for multiple insiders and file directly from hCue

Create and populate SEC Forms 3, 4, and 5 using data stored in hCue for multiple insiders at once. The SEC Module auto-fills required fields and supports optional inputs, helping you track stock-related transactions and file directly with the SEC. Submit filings from within the module and follow their progress in real time through hCue's SEC integration. All Section 16 filings are stored in hCue for ongoing access.

Ensure every submission meets SEC requirements

The SEC Module automatically audits each form, checking required fields and verifying attachment formatting. Forms are updated whenever the SEC changes its requirements, ensuring you always submit compliant data.

88%

of Fortune 1000 companies CT supports

185K

entities managed in hCue

10 years

Average service team member experience

Key features



Monitor filing requirements



Automate form preparation



E-file directly with the SEC



Track SEC filing status



Leverage robust auditing



Store evidence for easy access

To learn more about how we can help you with your SEC filings, contact a [CT Representative](#).
www.wolterskluwer.com/en/solutions/ct-corporation



CT Corporation



U.S. Securities and Exchange Commission

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Precision and control for every SEC Filing



Benefits

- Built-in calculations reduce the risk of error.
- Automated form preparation using hCue data saves time.
- A central dashboard displays SEC filing status in one place.
- SEC Section 16 filings are accessible within hCue at any time.

Comprehensive SEC Section 16 Compliance



Maintain entity data and SEC records with hCue



Auto create forms
Pre-populate forms with data from hCue



Audit files
Validate data accuracy and format



Directly e-file
File online from anywhere



Track with SEC
Receive and track responses from the SEC



Manage records
Save filings and all SEC responses and notifications

Implement with ease, access from anywhere

Start using the SEC Module within minutes, and begin building a lasting repository of insider activity information in hCue. Access the module across desktops, tablets, and mobile devices on all major browsers.

Our hCue Business Consultants provide expertise and guidance throughout onboarding, helping you get up and running quickly so you can expect more efficiency from day one.



To learn more about how we can help you with your SEC filings, contact a [CT Representative](#).
www.wolterskluwer.com/en/solutions/ct-corporation



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U.S. Securities and Exchange Commission