

Wolters Kluwer US Regulatory Consulting



Innovative thinking. Unrivaled industry experience.

Today's world of risk and compliance is characterized by increased volume and complexity of legal requirements at the state and federal levels. Heightened emphasis by financial institutions to control risk — and by regulators to ensure compliance — leads to higher visibility and greater scrutiny of these functions.

Wolters Kluwer's US Regulatory Consulting experts combine innovative thinking with first-hand industry knowledge to partner with our clients across key areas of regulation.



Compliance Management	Helping ensure a sound Compliance Management System is in place and maintained
Bank Secrecy, Anti-Money Laundering, OFAC	Aiming to prevent financing criminal or terrorist activity and enforcing country-level sanctions
Unfair, Deceptive, or Abusive Acts or Practices	Seeking to avoid banking practices that can cause substantial injury to consumers
Fair Lending	Aiding efforts to detect and prevent discrimination against protected classes
Community Reinvestment	Helping ensure that low- to moderate-income communities and individuals are being served
Home Mortgage Disclosure (HMDA)	Publicizing residential mortgage data to identify predatory or discriminatory lending practices



Wolters Kluwer US Regulatory Consulting can assist institutions that lack sufficient internal expertise to assess risk, review program compliance, or test compliance effectiveness. It is cost-effective relative to a software purchase and required internal staffing, and our experts can assist and guide internal teams through areas of regulatory uncertainty.



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